



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN THE APPLICATION OF:

JERREL C. ANDERSON

CASE NO.: AD7065USNA

APPLICATION NO.: 10/695,721

CONFIRMATION NO.: 5537

GROUP ART UNIT: 1773

EXAMINER: DHIRAJLAL S. NAKARANI

FILED: October 28, 2003

FOR: IONOMER RESINS AS INTERLAYERS FOR USE WITH IMBEDDED OR  
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GLAZING APPLICATIONS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(b): within three months of filing the national application under 37 C.F.R. §1.53(d), or entry into the national stage under 37 C.F.R. §1.491, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action on the merits after filing a Request for Continued Examination under 37 C.F.R. §1.114.


Applicants are citing the International Search Report and Written Opinion from the PCT counterpart to this application. Applicants understand that these documents are considered by Examiners, but that some Examiner's don't list them on the front cover of the patent and therefore cross them off of the form PTOSB8B. If these documents are crossed-off on the form, applicants will assume that the Examiner has considered the documents unless the Examiner indicates on the record that they have not been considered.

US 2005-233136 is a counterpart to WO 2003-099553.

US 6,797,396 B1 is a counterpart to WO 01/96104.

If this Information Disclosure Statement is filed under §1.97(b) but the mailing date hereof is after the mailing of a first Office Action on the merits, the PTO is authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company) in order to complete the requirements for consideration of this Information Disclosure Statement.

Respectfully submitted,



Mark D. Kuller  
Attorney for Applicants  
Registration No.: 31,925  
Telephone: (302) 892-1354  
Facsimile: 302-992-3257

Dated: 4/13/2006

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

1

of

3

**Complete if Known**

Application Number	10/695721
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<b>Filing Date</b>	<b>October 28, 2003</b>
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First Named Inventor	JERREL C. ANDERSON
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Group Art Unit	1773
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Examiner Name	DHIRAJLAL S. NAKARANI
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Attorney Docket Number	AD7065USNA
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

**This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/695721
		Filing Date	October 28, 2003
		First Named Inventor	JERREL C. ANDERSON
		Group Art Unit	1773
		Examiner Name	DHIRAJLAL S. NAKARANI
Sheet 2 of 3	Attorney Docket Number	AD7065USNA	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report for counterpart PCT/US2004/036118	<input type="checkbox"/>
		Written Opinion of the International Searching Authority for counterpart PCT/US2004/036118	<input type="checkbox"/>
	A	Southwall Technologies XIR Automotive Glass <a href="http://www.southwall.com/products/xir_auto.html">http://www.southwall.com/products/xir_auto.html</a> (Copyright 2003)	<input type="checkbox"/>
	B	Southwall, Visteon, And The U.S. Department Of Energy's National Renewable Energy Laboratory Announce Favorable Test Results Of XIR® SOLAR Reflective Film In Automotive Glazing - 5/25/2001 <a href="http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=343869">http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=343869</a>	<input type="checkbox"/>
	C	Upscale Silicon Valley Retailer Picks Southwall XIR® Laminate to showcase Apparel - 2/13/2002 <a href="http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=344772">http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=344772</a>	<input type="checkbox"/>
	D	Southwall Completes New 2-Year Supply Contract With Saint-Gobain Sekurit For XIR® Automotive Films - 1/9/2002 <a href="http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=344321">http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=344321</a>	<input type="checkbox"/>
	E	Scotchint Commercial aka Sweets Brochure - Brochure (2003) <a href="http://multimedia.mmm.com/mws/mediawebserver.dyn?6666660Zjcf6IVs6EVs66607oCOrrrQ-">http://multimedia.mmm.com/mws/mediawebserver.dyn?6666660Zjcf6IVs6EVs66607oCOrrrQ-</a>	<input type="checkbox"/>
	F	Southwall Europe GMBH Delivers First Product To Automotive Industry - 3/20/2001 <a href="http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=343863">http://www.corporate-ir.net/ireye/ir_site.zhtml?ticker=swtx&amp;script=410&amp;layout=6&amp;item_id=343863</a>	<input type="checkbox"/>
	G	PRODUCT BULLETIN - Important news concerning XIR Laminated products - new part numbers. (Copyright 2003) <a href="http://www.southwall.com/products/xir_laminated-bulletin.html">http://www.southwall.com/products/xir_laminated-bulletin.html</a>	<input type="checkbox"/>
	H	Southwall Technologies' XIR Laminated laminated glass, with performance file sheets (Copyright © 2003 Southwall Technologies, Inc.) <a href="http://www.southwall.com/products/xir_laminaed.html">http://www.southwall.com/products/xir_laminaed.html</a>	<input type="checkbox"/>
	I	Scotchint Scotchshield Residential Brochure - Brochure <a href="http://multimedia.mmm.com/mws/mediawebserver.dyn?6666660Zjcf6IVs6EVs6660NfCOrrrQ-">http://multimedia.mmm.com/mws/mediawebserver.dyn?6666660Zjcf6IVs6EVs6660NfCOrrrQ-</a>	<input type="checkbox"/>

Examiner Signature		Date Considered	
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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	J	3M Brochure "Solar Reflecting Film" - <a href="http://multimedia.mmm.com/mws/mediawebserver.dyn?qqqqqq&amp;8iBEqKUrq1UrqqRUF1alxPtWG-">http://multimedia.mmm.com/mws/mediawebserver.dyn?qqqqqq&amp;8iBEqKUrq1UrqqRUF1alxPtWG-</a>	<input type="checkbox"/>
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